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MPA #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Keo et al

Serial No.: 10/001,252

Filed: November 15, 2001

Group No.: 2874

Examiner: Unknown

Docket No. LIGHT2380

For: SMOOTHING FACETS ON AN OPTICAL COMPONENT

CERTIFICATION UNDER 37 CFR § 1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, 13 March 2002, in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231

Date

Signature

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -
FILING DATE GRANTED**

This document is submitted in response to the NOTICE TO FILE MISSING PARTS OF APPLICATION, dated December 20, 2001.

Transmitted herewith are the executed combined Declaration/Power of Attorney and the Transmittal of Formal Drawings with FIFTEEN (15) sheets of drawings.

The Fee for this Response is calculated as follows:

For	Number Filed		Number Extra Claims	Large Entity Rate	Small Entity Rate	Calculations
Total Claims	45 - 20	=	25	x \$18.00	x \$9.00	\$225.00
Independent Claims	4 - 3	=	1	x \$84.00	x \$42.00	\$ 42.00
Basic Filing Fee				\$740.00	\$370.00	\$370.00
					TOTAL FEE	\$637.00

Applicant: Keo et al


Serial No.: 10/001,252 - Filed: November 15, 2001

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Enclosed is a check in the amount of \$757.00; which consists of \$637.00 for the filing fee, \$65.00 for the missing parts surcharge and \$55 for a one month extension. The Commissioner is hereby authorized to charge any fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Date: 13 March 2002


TERRANCE A. MEADOR
Reg. No. 30, 298

GRAY CARY WARE & FREIDENRICH
4365 Executive Drive, Suite 1100
San Diego, CA 92121-2133

Telephone: (858) 638-6747 Fax: (858) 638-6727



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13 March 2002
Date

Terrance A. Meador
Signature

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

TRANSMITTAL OF FORMAL DRAWINGS

Transmitted herewith are FOURTEEN (14) sheets of formal drawing in substitution for the photocopies of the drawing originally filed.

Respectfully submitted,

Date: 13 March 2002

Terrance A. Meador
TERRANCE A. MEADOR
Reg. No. 30, 298

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4365 Executive Drive, Suite 1100
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/001,252	11/15/2001	Sam Keo	LIGHT2380

CONFIRMATION NO. 8331

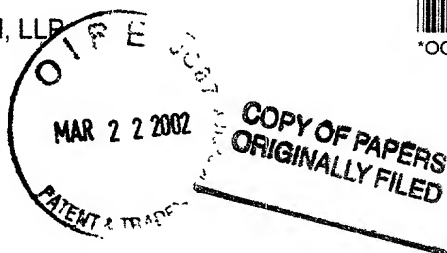
FORMALITIES LETTER



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TERRANCE A. MEADOR
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4365 EXECUTIVE DRIVE
SUITE 1100
SAN DIEGO, CA 92121-2133



Date Mailed: 12/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$267.
 - \$225 for 25 total claims over 20.
 - \$42 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 702.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.


The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

03/09/2002 *BEP/E 0.0000.6 001252

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A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE